



Substitute for Form 1449/PTO

111-37-2006

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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<i>Complete if Known</i>	
Application Number	10/674,649
Filing Date	September 30, 2003
First Named Inventor:	Franck et al.
Art Unit	2633-2613
Examiner Name	Unknown
Attorney Docket Number	42P16445

Sheet 1 of 1 Attorney Docket Number 42P16445

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
HP	2.	CN 2427935 Y	04-25-2001	Gan Zhiyin		

Examiner Signature	/Hanh Phan/	Date Considered	09/30/2006
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **¹Applicant's unique citation designation number (optional).** **²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.** **³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).** **⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document.** **⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.** **⁶Applicant is to place a check mark here if English language translation is attached.** This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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Sheet	1	of	2	Attorney Docket Number	42P16445

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
HP	1.	BEN-MICHAEL, R. et al., "A Bi-Directional Transceiver PIC for Ping-Pong Local Loop Configurations Operating at 1.3- μ m Wavelength", IEEE Photonics Technology Letters, December 1995, pages 1424-1426, vol. 7, no. 12.	
HP	2.	MALLECOT, F. et al., "-31 dBm Sensitivity of a Monolithic Transmit-Receive-Device Over Wide Temperature Range", Alcatel Optronics, Villarceaux, Route de Villejust, F-91625 Nozay Cedex, France, pages 191-194.	
HP	3.	UNO, Tomoaki, "1.3/1.55 μ m WDM Transceiver Modules for 155Mbps Application", IEEE, 2001, pages 111-112.	
HP	4.	www.as400-shop.com/nbase-xyplex/single_fiber_full_duplex.htm , "Single Fiber Full Duplex", September 30, 2003.	
HP	5.	Honeywell, "Infrared Products, Single Fiber Duplex Modules", Honeywell Control Products, Freeport IL, pages 1-6.	
HP	6.	"FTTx: Fiber to the X", Luminent, Chatsworth CA.	
HP	7.	NEC, www.necus.com/media/press_releases/template.cfm?DID=1719 , "NEC Fiberoptech and Wavesplitter to Display Wide Range of New NEC Transponder Products at OFC", September 30, 2003.	
HP	8.	"1.25Gbps Single Fiber Bi-Directional Optical Module", Appointech Inc., July 2, 2002, pages 1-3.	
HP	9.	Silica, www.silica.com/special_offer/inf-20020110silicaw.html , "Infineon's Bi-Directional Module (BIDI)", September 30, 2003.	
HP	10.	Infineon Technologies AG, www.infineon.com/news/press/203_062e.htm , September 30, 2003.	

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